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# On Generalized Radix Representations (Analytic Number Theory and Surrounding Areas)

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# On Generalized Radix Representations

By S. Akiyama, T. Borbély, H. Brunotte, A. Pethő and J. Thuswaldner

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## 1. Radix representation and two generalizations

**Positive base:** Let  $g \geq 2$  be an integer. Then every  $n \in \mathbb{Z}$  can be represented in the form

$$n = \pm \sum_{j=0}^{\ell} n_j g^j, \quad 0 \leq n_j < g.$$

**Negative base:** V. Grünwald (1885): Let  $g \leq -2$  be an integer. Then every  $n \in \mathbb{Z}$  can be represented in the form

$$n = \sum_{j=0}^{\ell} n_j g^j, \quad 0 \leq n_j < g.$$

You can find details and more material about the here studied kind of questions in the following papers:

- [1] S. Akiyama and A. Pethő, *On canonical number systems*, Theor. Comp. Sci., 270 (2002), 921–933.
- [2] S. Akiyama, H. Brunotte and A. Pethő, *Cubic CNS polynomials, notes on a conjecture of W.J. Gilbert*, J. Math. Anal. and Appl., 281 (2003), 402–415.
- [3] S. Akiyama, T. Borbély, H. Brunotte, A. Pethő and J. Thuswaldner, *On a generalization of the radix representation - a survey*, Fields Institute Communications, to appear.
- [4] S. Akiyama, T. Borbély, H. Brunotte, A. Pethő and J. Thuswaldner, *Generalized radix representations and dynamical systems I*, Acta Math. Hungar., submitted

### 1.1. $\beta$ representation

A. Rényi (1957): Let  $\beta > 1$  be a real number and  $\mathcal{A} = \{0, 1, \dots, \lfloor \beta \rfloor\}$  be the set of digits. Then each  $\gamma \in [0, \infty)$  can be represented by

$$\gamma = a_m \beta^m + a_{m-1} \beta^{m-1} \dots \quad (1)$$

with  $a_i \in \mathcal{A}$ . This  $\beta$ -representation is usually not unique.

Assuming however that

$$0 \leq \gamma - \sum_{i=n}^m a_i \beta^i < \beta^n \quad (2)$$

hold for all  $n \leq m$  the  $\beta$ -representation become unique. For  $\gamma \in [0, 1)$  this greedy expansion can be given by the  $\beta$ -transformation

$$T_\beta(\gamma) = \beta\gamma - \lfloor \beta\gamma \rfloor$$

This concept is the topics of a lot of research:

- Description of the representations of 1, Erdős, Joó, Horváth.
- Characterization of univoque numbers, i.e. those  $\beta$  for which 1 has a unique representation, Daróczy, Kátai, Komornik, Loretti, Allouche, Cosnard.
- Connections with fractals: Rauzy, Thurston, Akiyama.
- Characterization of those  $\beta$  which leads to finite or eventually finite representations, Bertrand, Schmidt, Frougny, Solomyak, Hollander.
- Connection with radix representations based on linear recursive sequences, Zeckendorf, Fraenkel, Grabner, Tichy, Pethő.

### 1.3. CNS polynomials

**Observation:** If  $\mathbb{Z}_K$  is monogenic then  $\mathbb{Z}_K = \mathbb{Z}[\alpha]$  for some  $\alpha \in \mathbb{Z}_K$ . This means  $\mathbb{Z}_K \cong \mathbb{Z}[x]/P(x)\mathbb{Z}[x]$ , where  $P(x)$  is the minimal polynomial of  $\alpha$ .

Moreover,  $\{\alpha, \mathcal{N}\}$  is a CNS in  $\mathbb{Z}_{Q(\alpha)}$  means nothing else than every coset of  $\mathbb{Z}[x]/P(x)\mathbb{Z}[x]$  has an element (a representative) such that its coefficients are bounded by  $|p_0| - 1$ .

A monic polynomial  $P(x) = x^d + p_{d-1}x^{d-1} + \dots + p_0$  is called CNS polynomial if every coset of  $\mathbb{Z}[x]/P(x)\mathbb{Z}[x]$  has an element

$$a_0 + a_1x + \dots + a_kx^k \quad (3)$$

such that  $0 \leq a_i < |p_0|$ .

### 1.2. CNS representation

**Number rings:** Knuth, Kátai, J. Szabó, B. Kovács, Gilbert (1960-1981):

Let  $\mathbb{Z}_K$  be the ring of integers of the algebraic number field  $K$ .

$\{\alpha, \mathcal{N}\}; \quad \alpha \in \mathbb{Z}_K, \quad \mathcal{N} = \{0, \dots, |\text{Norm}(\alpha)| - 1\}$

is called a *canonical number system* if every  $\nu \in \mathbb{Z}_K$  can be represented in the form

$$\nu = \sum_{j=0}^l n_j \alpha^j, \quad n_j \in \mathcal{N}.$$

## 2. Comparison of the properties of greedy expansions and of CNS-polynomials

Let  $\beta$  be the root of  $B(X) = X^d - b_1X^{d-1} - \dots - b_d \in \mathbb{Z}[X]$ .

Let  $\text{Fin}(\beta)$  be the set of positive real numbers having finite greedy expansion with respect to  $\beta$ . We say that  $\beta > 1$  has property (F) if

$$\text{Fin}(\beta) = \mathbb{Z}[1/\beta] \cap [0, \infty).$$

#### Property (F)

$\beta$  is a Pisot number:  
 $\beta > 1$ , but its  
conjugates are  $< 1$

If  $b_1 \geq \dots \geq b_d \geq 1$ ,  
Frougny and  
Solomyak (1992)

#### CNS-polynomials

the absolute value of  
all zeroes of  $P(X)$  are  
larger than 1.

If  $p_{d-1} \leq \dots \leq p_0$ ,  
 $p_0 \geq 2$ , B. Kovács  
(1981)

Characterization results if

$b_1 > |b_2| + \dots + |b_d|$ ,  
 $b_d \neq 0$ , Hollander  
(1996)

$p_0 > |p_1| + \dots + |p_{d-1}|$ ,  
Akiyama, Pethő, (2002),  
Scheicher, Thuswaldner

### 3. Shift Radix Systems

Let  $r = (r_1, \dots, r_d) \in \mathbb{R}^d$ . To  $r$  we associate the mapping

$\tau_r : \mathbb{Z}^d \rightarrow \mathbb{Z}^d$ : if  $a = (a_1, \dots, a_d) \in \mathbb{Z}^d$  then let

$$\tau_r(a) = (a_2, \dots, a_d, -\lfloor ra \rfloor),$$

where  $ra = r_1 a_1 + \dots + r_d a_d$ , i.e. the inner product of  $r$  and  $a$ .

Let  $r$  be fixed. We will show:  $r$  gives rise to a Pisot number  $\beta$  with property (F) as well as to a CNS-polynomial  $P$  iff

$$\text{for all } a \in \mathbb{Z}^d \exists k > 0 \text{ with } \tau_r^k(a) = 0. \quad (4)$$

If (4) holds, we will call  $\tau_r$  a *shift radix system* (SRS for short). Hence SRS is a common generalization of greedy expansions with property (F) and CNS-polynomials.

### 3.1. Relation between SRS and $\beta$ -expansions

Two basic definitions. Let

$$\mathcal{D}_d^0 := \{r \in \mathbb{R}^d \mid \forall a \in \mathbb{Z}^d \exists k > 0 : \tau_r^k(a) = 0\} \text{ and}$$

$$\mathcal{D}_d := \{r \in \mathbb{R}^d \mid \forall a \in \mathbb{Z}^d : \{\tau_r^k(a)\}_{k \geq 0} \text{ is ultimately periodic}\}.$$

Now we can formulate the connection between SRS and greedy expansions.

**Theorem 1 (Hollander, 1996).** Let  $\beta > 1$  be a Pisot number with minimal polynomial  $X^d - b_1 X^{d-1} - \dots - b_{d-1} X - b_d$ . Set

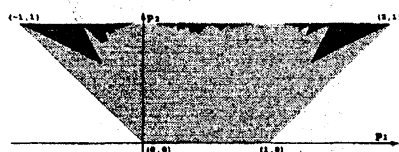
$$r_1 := 1,$$

$$r_j := b_j \beta^{-1} + b_{j+1} \beta^{-2} + \dots + b_d \beta^{j-d-1},$$

( $2 \leq j \leq d$ ). Then  $\beta$  has property (F) if and only if  $(r_d, \dots, r_2) \in \mathcal{D}_{d-1}^0$ .

It is clear that  $\mathcal{D}_1 = [-1, 1]$  and  $\mathcal{D}_1^0 = [0, 1]$ .

To illustrate the difficulty of the characterization problem of  $\mathcal{D}_d^0$  we show an approximation of  $\mathcal{D}_2^0$ .



An approximation of  $\mathcal{D}_2^0$ .

### 4. Relation between SRS and CNS-polynomials

This is a more delicate question.

Let  $P(x) = p_d x^d + p_{d-1} x^{d-1} + \dots + p_0 \in \mathbb{Z}[x]$  with  $p_d = 1$ . Every coset of  $\mathbb{Z}[x]/P(x)\mathbb{Z}[x]$  has an element of form

$$A_0 + A_1 x + \dots + A_{d-1} x^{d-1}, \quad A_i \in \mathbb{Z}. \quad (5)$$

Let  $\mathbb{Z}'[x] = \{A(x) \in \mathbb{Z}[x] : \deg A < d\}$  and

$$T(A) = \sum_{i=0}^{d-1} (A_{i+1} - q p_{i+1}) x^i,$$

where  $A_d = 0$  and  $q = [A_0/p_0]$ .

Then  $T : \mathbb{Z}'[x] \rightarrow \mathbb{Z}'[x]$  and

$$A = a_0 + xT(A), \text{ with } a_0 = A_0 - qp_0.$$

This backward division process can become:

- divergent  $A(X) = -1$  for  $P(X) = X^2 + 4X + 2$

$$T_{X^2+4X+2}^k(-1) = -1, X + 4, -2X - 8, 4X + 16, \dots \text{ or}$$

- ultimately periodic  $A(X) = -1$  for  $P(X) = X^2 - 2X + 2$

$$T_{X^2-2X+2}^k(-1) = -1, X - 2, X - 1, X - 1, \dots \text{ or}$$

- can terminate after finitely many steps  $A(X) = -1$  for  $P(X) = X^2 + 2X + 2$   
 $-1 = 1 + x^2 + x^3 + x^4.$

Let

$$\Pi(P) = \{A : T_P^\ell(A) = A \text{ for some } \ell > 0\}$$

denote the set of periodic points of the mapping  $T_P$ .

We always have  $0 \in \Pi(P)$ . With help of this set we define

$$\begin{aligned} C_d^0 &= \{(p_0, p_1, \dots, p_{d-1}) \in \mathbb{Z}^d : \\ &\quad \Pi(X^d + p_{d-1}X^{d-1} + \dots + p_0) = \{0\}\} \quad \text{and} \\ C_d &= \{(p_0, p_1, \dots, p_{d-1}) \in \mathbb{Z}^d : \\ &\quad T_{X^d + p_{d-1}X^{d-1} + \dots + p_0} \text{ has only finite orbits}\}. \end{aligned}$$

Clearly, we have  $C_d^0 \subset C_d$ .

The elements of  $C_d^0$  will be called CNS polynomials.

It is convenient to replace  $T_P$  by the conjugate mapping

$\tilde{T}_P : \mathbb{Z}^d \rightarrow \mathbb{Z}^d$  defined as

$$\tilde{T}_P(A) = (A_1 - qp_1, \dots, A_{d-1} - qp_{d-1}, -qp_d),$$

where  $A = (A_0, \dots, A_{d-1})$  and  $q = \lfloor A_0/p_0 \rfloor$ .

#### 4.1. Affect of a new representation

H. Brunotte (2000) and K. Scheicher and J. Thuswaldner (2001) observed that the basis transformation

$$\begin{aligned} \{1, x, \dots, x^{d-1}\} &\rightarrow \{w_1, \dots, w_d\}, \\ w_j &= \sum_{i=d-j+1}^d p_i x^{i+j-d-1} \end{aligned}$$

of  $R$  implies a nicer and much better applicable transformation than  $\tilde{T}_P$  is. Indeed, if

$$A(x) = \sum_{j=1}^d A_j w_j, \quad \text{then}$$

$$\tilde{T}_P(A) = -tw_d + \sum_{j=1}^{d-1} A_{j+1} w_j, \quad \text{where}$$

$$t = \left\lfloor \frac{p_1 A_d + \dots + p_d A_1}{p_0} \right\rfloor.$$

Hence,  $\tilde{T}_P$  implies the mapping  $\tau_P : \mathbb{Z}^d \rightarrow \mathbb{Z}^d$

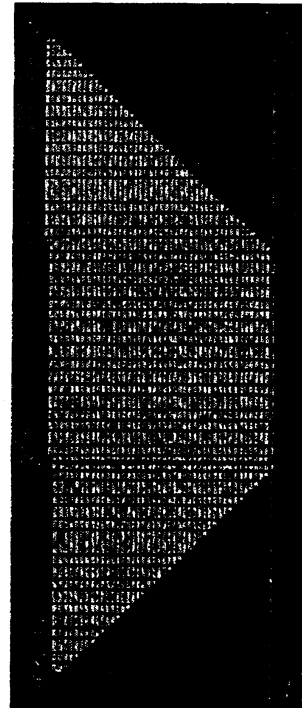
$$\tau_P(A) = \left( A_2, \dots, A_d, - \left\lfloor \frac{p_1 A_d + \dots + p_d A_1}{p_0} \right\rfloor \right)$$

where  $A = (A_1, \dots, A_d)$ . The mapping  $\tau_P$  will be called *Brunotte's mapping*.

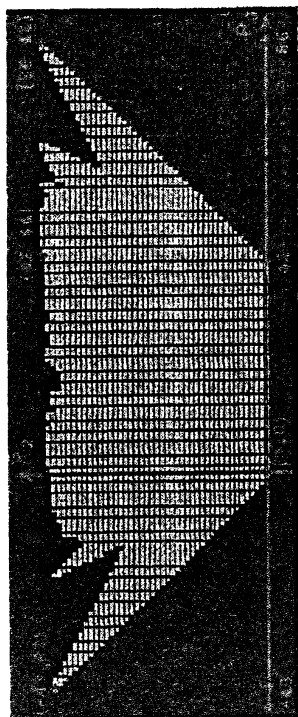
**Theorem 2.** Let  $P(X) := X^d + p_{d-1}X^{d-1} + \dots + p_1X + p_0 \in \mathbb{Z}[X]$ . Then  $P(X)$  is a CNS polynomial (or belongs to  $C_d^0$ ) if and only if  $r = (\frac{1}{p_0}, \frac{p_{d-1}}{p_0}, \dots, \frac{p_1}{p_0}) \in \mathcal{D}_d^0$ .

#### 4.2. $C_d^0$ for small $d$ 's

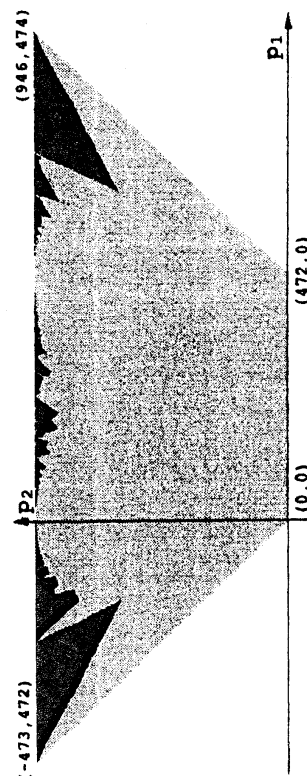
- $C_1^0 = \{p_0 : p_0 \geq 2\}$ , V. Grünwald
- $C_2^0 = \{(p_0, p_1) : -1 \leq p_1 \leq p_0, p_0 \geq 2\}$ , Kátai, Szabó, B. Kovács, Gilbert.
- Conjecture of Gilbert, 1981:  $(p_0, p_1, p_2) \in C_3^0$  if and only if
  - (i)  $p_0 \geq 2$ ,
  - (ii)  $p_2 \geq 0$ ,
  - (iii)  $p_1 + p_2 \geq -1$ ,
  - (iv)  $p_1 - p_2 \leq p_0 - 2$ ,
  - (v)  $p_2 \leq \begin{cases} p_0 - 2, & \text{if } p_1 \leq 0, \\ p_0 - 1, & \text{if } 1 \leq p_1 \leq p_0 - 1, \\ p_0, & \text{if } p_1 \geq p_0. \end{cases}$



Visualization of Gilbert's conjecture,  $p_0 = 44$ .



$C_3^0$  for  $p_0 = 44$ .



$C_3^0$  for  $p_0 = 474$ .

## 5. Basic properties of SRS

For a matrix  $M$  denote the spectral norm by  $\|M\|$ . For a vector  $v$ ,  $\|v\|$  shall denote the Euclidean norm.

For  $r = (r_1, \dots, r_d) \in \mathcal{D}_d$  let

$$R := \begin{pmatrix} 0 & 1 & 0 & \dots & 0 \\ \vdots & \ddots & \ddots & \ddots & \vdots \\ \vdots & & \ddots & \ddots & 0 \\ 0 & \dots & \dots & 0 & 1 \\ -r_1 & -r_2 & \dots & \dots & -r_d \end{pmatrix}. \quad (6)$$

**Lemma 1.** Let  $d \in \mathbb{N}$ . If  $r = (r_1, \dots, r_d) \in \mathcal{D}_d$  then the spectral radius of  $R$  is less than or equal to 1.

In the opposite direction we get the following result.

**Lemma 2.** Let  $r \in \mathbb{R}^d$  such that the spectral radius  $\rho$  of the matrix  $R$  given above is less than 1. Then  $r \in \mathcal{D}_d$ .

It is not too hard to prove the following statement

**Theorem 3.** The sets  $\mathcal{D}_d$  and  $\mathcal{D}_d^0$  are Lebesgue measurable.

### 5.1. Convexity property of $\tau_r$

**Theorem 4.** Let  $r_1, \dots, r_k \in \mathbb{R}^d$  and  $a \in \mathbb{Z}^d$  be such that  $\tau_{r_1}(a) = \dots = \tau_{r_k}(a)$ . Let  $s$  be any convex linear combination of  $r_1, \dots, r_k$ . Then we have  $\tau_s(a) = \tau_{r_1}(a) = \dots = \tau_{r_k}(a)$ .

**Corollary 1.** Let  $r_1, \dots, r_k \in \mathbb{R}$  have the same period, i.e.  $\tau_{r_1}^\ell(a) = \dots = \tau_{r_k}^\ell(a)$ ,  $\ell = 0, \dots, q$  and  $a = \tau_{r_1}^q(a)$ . Then if  $s$  is lying in the convex hull of  $r_1, \dots, r_k$  the mapping  $\tau_s$  is periodic and has the same period as  $\tau_{r_1}$ .

For example, it is easy to check that for the plane vectors  $r_1 = \begin{pmatrix} 381 & 253 \\ 254 & 254 \end{pmatrix}$ ,  $r_2 = \begin{pmatrix} 421 & 253 \\ 254 & 254 \end{pmatrix}$  and  $r_3 = \begin{pmatrix} 344 & 176 \\ 254 & 254 \end{pmatrix}$  the corresponding mappings have the same period  $(-2, 1); 3, -2, 1, 1, -2$ , hence the corresponding mapping for any point lying in the rectangle  $r_1, r_2, r_3$  have this period.

## 5.2. Brunotte's algorithm

To decide  $r \in \mathcal{C}_d^0$  Brunotte gave an algorithm, which was realized independently by Scheicher and Thuswaldner. We give here a generalization for  $\mathcal{D}_d^0$ .

**Theorem 5.** Suppose that there exists a set  $E \subset \mathbb{Z}^d$  satisfying

- (i)  $E$  contains  $2d$  elements of the form  $(0, \dots, 0, \pm 1, 0, \dots, 0)$ .
- (ii)  $\tau_r(E) \cup \tau_r^*(E) \subset E$ , where  $\tau_r^*(x) = -\tau_r(-x)$ .
- (iii) For each  $a \in E$  there is some  $k > 0$  such that  $\tau_r^k(a) = 0$ .

Then  $r \in \mathcal{D}_d^0$ .

## 6. Lifting theorem

Let  $d \in \mathbb{N}$  and

$$(a_{1+j}, \dots, a_{d+j}) \in \mathbb{Z}^d, \quad (0 \leq j \leq L-1), \quad (7)$$

with  $a_{L+1} = a_1, \dots, a_{L+d} = a_d$ .

For which  $r = (r_1, \dots, r_d) \in \mathbb{R}^d$  these vectors form a period  $\pi$  of  $\mathcal{D}_d$ ? By the definition of  $\tau_r$  this is the case if and only if the inequalities

$$0 \leq r_1 a_{1+j} + \dots + r_d a_{d+j} + a_{d+j+1} < 1 \quad (8)$$

hold simultaneously for all  $0 \leq j \leq L-1$ . They define a (possibly degenerated) polyhedron, which will be denoted by  $\mathcal{P}(\pi)$ .

Since  $r \in \mathcal{D}_d^0$  if and only if  $\tau_r$  has 0 as its only period we conclude that

$$\mathcal{D}_d^0 = \mathcal{D}_d \setminus \bigcup_{\pi \neq 0} \mathcal{P}(\pi)$$

**An example:** Let  $r = \left(\frac{2}{5}, -\frac{1}{5}\right)$ .

Starting from  $E_0 = \{(\pm 1, 0), (0, \pm 1)\}$  and using that

$$\tau_r(1, 0) = (0, 0), \tau_r(-1, 0) = (0, 1), \tau_r(0, 1) = (1, 1),$$

$$\tau_r(0, -1) = (-1, 0) \text{ we get}$$

$$E_1 = \tau_r(E_0) \cup \tau_r^*(E_0) = E_0 \cup \{(0, 0), (1, 1), (-1, -1)\}.$$

Now

$$\tau_r(1, 1) = (1, 0), \tau_r(-1, -1) = (-1, 1), \text{ hence we may take}$$

$$E_2 = \tau_r(E_1) \cup \tau_r^*(E_1) = E_1 \cup \{(1, -1), (-1, 1)\}.$$

Finally because

$$\tau_r(-1, 1) = (1, 1), \tau_r(1, -1) = (-1, 0), \text{ we get that}$$

$$E = E_2 \text{ proves } r \in \mathcal{D}_2^0.$$

where the union is extended over all families of vectors  $\pi$  of the shape (7). We call the family of (non-empty) polyhedra corresponding to this choice the *family of cutout polyhedra* of  $\mathcal{D}_d^0$ .

Let  $\pi$  be a period of  $\mathcal{C}_d$  or  $\mathcal{D}_d$  which corresponds to a non-degenerate cutout polyhedron. Then we call  $\pi$  a *non-degenerate period*. We will show that we can "lift" a non-degenerate period to higher dimensions.

**Definition 1.** Let

$$\pi : (a_1, \dots, a_d); a_{d+1}, \dots, a_L \quad (9)$$

be a non-degenerate period of length  $L$  of  $\mathcal{C}_d$  or  $\mathcal{D}_d$ . Then we call

$$l(\pi) : (a_1, a_2, \dots, a_{d+1}); a_{d+2}, \dots, a_L \quad (10)$$

the lift of  $\pi$  to  $d+1$ .

Note that  $\pi$  and  $l(\pi)$  have the same period length  $L$ .

**Theorem 6 (Lifting Theorem).** Let  $d \geq 1$  be an integer.

(i) Let  $p_0 \geq 2$  and let  $\pi$  be a non-degenerate period for  $\mathcal{C}_d$ . Then  $\pi$  is also a non-degenerate period for  $\mathcal{D}_d$ . More precisely, there exist  $p_1, \dots, p_{d-1} \in \mathbb{Z}$  such that  $(p_0, \dots, p_{d-1}) \in \text{int}(\mathcal{P}'(\pi))$  and

$$\left( \frac{1}{p_0}, \frac{p_{d-1}}{p_0}, \dots, \frac{p_1}{p_0} \right) \in \text{int}(\mathcal{P}(\pi)).$$

(ii) Let  $\pi$  be a non-degenerate period of  $\mathcal{D}_d$ . Then its lift  $\lambda := l(\pi)$  is a non-degenerate period of  $\mathcal{C}_{d+1}$  for each sufficiently large  $p_0$ . More precisely, for all  $(r_1, \dots, r_d) \in \text{int}(\mathcal{P}(\pi))$  there exists  $\varepsilon > 0$  such that for all  $(p_0, \dots, p_d) \in \mathbb{Z}^{d+1}$  with

$$\max_{1 \leq k \leq d} \left| \frac{p_{d+1-k}}{p_0} - r_k \right| < \varepsilon$$

we have  $(p_0, \dots, p_d) \in \text{int}(\mathcal{P}'(\lambda))$ .

**Theorem 7.** Fix  $n \in \mathbb{N}$ ,  $n > 3$ , and set  $r = (x_n, y_n) \in \mathbb{R}^2$  with

$$x_n := 1 - \frac{1}{2n^2} + z_n \quad \text{and} \quad y_n := -\frac{2n+1}{2n(n+1)} + u_n,$$

where  $|z_n|, |u_n| < 1/n^4$ . Then  $\zeta_n$  is a non-degenerate period of  $\tau_r$ .

Since we can select  $n$  arbitrarily large and the length of the period  $\zeta_n$  is  $4n+1$  the previous theorem implies that there exist non-degenerate periods of arbitrarily large length for  $\mathcal{D}_2$ .

## 7. Long periods

Consider the following family of edges.

$$\begin{aligned} \alpha_k : (-k-1, -n+k) &\rightarrow (-n+k, k+1) \\ &\quad (0 \leq k \leq n-1), \\ \beta_k : (-n+k, k+1) &\rightarrow (k+1, n+1-k) \\ &\quad (0 \leq k \leq n-1), \\ \gamma_0 : (1, n+1) &\rightarrow (n+1, 1), \\ \gamma_k : (k+1, n+1-k) &\rightarrow (n+1-k, -k) \\ &\quad (1 \leq k \leq n-1), \\ \gamma_n : (n+1, 1) &\rightarrow (1, -n), \\ \delta_k : (n+1-k, -k) &\rightarrow (-k, -n-1+k) \\ &\quad (1 \leq k \leq n-1). \end{aligned}$$

With these edges we form the cycle

$$\zeta_n : \alpha_0 \beta_0 \gamma_0 \gamma_n \alpha_{n-1} \beta_{n-1} \gamma_{n-1} \delta_{n-1} \alpha_{n-2} \dots \alpha_1 \beta_1 \gamma_1 \delta_1.$$

Note that  $\delta_1$  ends up in  $(-1, -n)$ . In this node  $\alpha_0$  starts. Thus  $\zeta_n$  is indeed a cycle. We wonder whether there exists  $r := (x_n, y_n) \in \mathcal{D}_2$  such that  $\tau_r$  has  $\zeta_n$  as a non-degenerate period. This is done in the following result.

By a direct application of the Lifting Theorem we obtain.

**Theorem 8.** Let  $d \geq 2$  be an integer, fix  $n \in \mathbb{N}$ ,  $n > 3$ . Then there exist some  $r \in \mathbb{R}^d$  such that  $l^{d-2}(\zeta_n)$  is a non-degenerate period of  $\tau_r$ . Since we can select  $n$  arbitrarily large and the length of the period  $l^{d-2}(\zeta_n)$  is  $4n+1$  this implies that there exist non-degenerate periods of arbitrarily large length of  $\mathcal{D}_d$  and  $\mathcal{C}_{d+1}$ .

**Corollary 2.** Fix  $n \in \mathbb{N}$ ,  $n > 3$ , and set  $d \geq 2$  and

$r = (0, \dots, 0, x_n, y_n) \in \mathbb{R}^d$  with  $x_n, y_n$  as in Theorem 7. Then  $l^{d-2}(\zeta_n)$  is a period of  $\tau_r$ .



## 8. Critical points

**Definition 2.** Let  $x \in \mathcal{D}_d$ .

- If there exists an open neighborhood of  $x$  which contains only finitely many cutout polyhedra then we call  $x$  a **regular point**.
- If each open neighborhood of  $x$  has nonempty intersection with infinitely many cutout polyhedra then we call  $x$  a **weak critical point** for  $\mathcal{D}_d$ .
- If for each open neighborhood  $U$  of  $x$  the set  $U \setminus \mathcal{D}_d^0$  can not be covered by finitely many cutout polyhedra then  $x$  is called a **critical point**.

We will show the existence of critical points for each  $d \geq 2$ . This shows that there is no way to characterize either of the sets  $\mathcal{D}_d^0$  by finitely many cutouts if  $d \geq 2$ .

**Lemma 3.** Let  $x$  be a weak critical point for  $\mathcal{D}_d$ . Then  $x \in \partial \mathcal{D}_d$ .

**Lemma 4.** Let  $\{x_n\}_{n \geq 1}$  and  $\{y_n\}_{n \geq 1}$  be sequences with  $x_n < 1$ ,  $y_n < 0$ ,  $\lim x_n = 1$ ,  $\lim y_n = 0$  and  $1 - x_n = o(y_n)$ . Let  $\{a_m\}_{m \geq 1}$  be a sequence of integers such that  $|a_i| < K$  for some constant  $K$ . Then there exists  $N \in \mathbb{N}$  such that

$$0 \leq a_{i-1}x_n + a_i y_n + a_{i+1} < 1 \quad (11)$$

can not hold for all  $i$  if  $n \geq N$  unless  $a_i = 0$  for all  $i$  large enough. Thus nonzero periods whose elements are bounded by  $K$  can not occur in  $\mathcal{D}_d$  for  $\tau_{(0, \dots, 0, x_n, y_n)}$  if  $n$  is large enough.

**Theorem 9.** Let  $d \geq 2$ . Then  $K_d := (0, \dots, 1, 0) \in \mathbb{R}^d$  is a critical point of  $\mathcal{D}_d$ .

**Problem 1.** Characterize the critical points of  $\mathcal{D}_d$ . Can one show that for a given  $d$  there exist only finitely many critical points?